

Legacy Marketing – Jumbo Case Approval Form

Carrier: _____ **Product:** _____ **State of Issue:** _____

Anticipated Writing Date: _____ **Anticipated Funding Date:** _____

Expected Premium Amount: _____

Strategy Election(s):	Percentages (whole):
_____	_____
_____	_____
_____	_____
_____	_____

Riders or Optional Benefits: _____

Source of Premium: _____ **Qual** **Non-Qual**

Replacement (Life insurance and/or Annuity): **Yes** **No**

If yes, specify type: **Fixed** **Fixed Indexed** **Variable**

Will the client incur surrender charges/penalties? **Yes** **No**

If yes, what amount? _____

Section A: Client Information

Client	First Name	Last Name	DOB / Age	Marital Status
Owner				
Jt. Owner				
Annuitant				
Jt. Annuitant				
Beneficiary				

Do any of the above parties have a diminished life expectancy or been diagnosed with a terminal illness? **Yes** **No**

If yes, provide details: _____

Section B: Agent Information

Agent	First Name	Last Name	Agent No	Phone	Fax/Email
Writing Agent					
Upline					

Section C: Financial / Purchasing Objectives

Purchasing / Financial Objectives	Owner	Joint Owner
Principal Protection	Y / N	Y / N
Earnings Guarantee	Y / N	Y / N
Liquidity-What Percentage	Y / N _____ %	Y / N _____ %
Retirement Income	Y / N	Y / N
Flexibility for Future Needs	Y / N	Y / N
Estate Planning	Y / N	Y / N
Tax Deferral	Y / N	Y / N

Section D: Anticipated Withdrawals

Type	When?
Required Minimum Distribution (RMD)	
Income Rider (if available)	
Systematic Income	
SEPP 72t/q (if available)	
Annuitization	
Confinement Waiver	

Section E: Cash Reserves

Institution Name	Current Balance	Account Type	Taxable?	Maturity Date	Interest Rate

Section F: Other Investments / Insurance Products

Institution / Insurer	Current Balance	Account Type	Taxable?	Maturity Date	Interest Rate

Section G: Financial Profile

1. Annual gross income:

- | | | |
|--|--|--|
| <input type="checkbox"/> \$0-29,999 | <input type="checkbox"/> \$75,000-99,000 | <input type="checkbox"/> \$250,000-399,999 |
| <input type="checkbox"/> \$30,000-49,999 | <input type="checkbox"/> \$100,000-149,999 | <input type="checkbox"/> \$400,000+ |
| <input type="checkbox"/> \$50,000-74,000 | <input type="checkbox"/> \$150,000-249,999 | |

2. Income sources (check all that apply):

- | | | |
|--|---------------------------------------|--------------------------------|
| <input type="checkbox"/> Salary | <input type="checkbox"/> Pension | <input type="checkbox"/> Other |
| <input type="checkbox"/> Investments | <input type="checkbox"/> Disability | |
| <input type="checkbox"/> Social Security | <input type="checkbox"/> Unemployment | |

3. Estimated net worth (excluding primary residence, furnishings, automobiles):

- | | | |
|---|--|--|
| <input type="checkbox"/> \$0-74,999 | <input type="checkbox"/> \$150,000-249,999 | <input type="checkbox"/> \$500,000-999,999 |
| <input type="checkbox"/> \$75,000-149,999 | <input type="checkbox"/> \$250,000-499,999 | <input type="checkbox"/> \$1,000,000+ |

4. Federal income tax bracket: 15% 28% 33% 38%

5. Percentage of total assets being placed in annuity: _____

Section H: Additional Information (please provide any other information regarding the purchase):
